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Attorneys for Defendants,
 Lawrence Ian Prozan and Multi-Financial Securities Corp.

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

REGINA JIMENEZ,)	Case No. 3:07-cv-03360-MJJ
)	
Plaintiff,)	
)	[PROPOSED] ORDER ON PROZAN
vs.)	DEFENDANTS' MOTION TO DISMISS
)	OR IN THE ALTERNATIVE TO
NATIONAL ASSOCIATION OF)	CONFIRM ARBITRATION AWARD
SECURITIES DEALERS; LAWRENCE IAN)	
PROZAN, DBA PROZAN FINANCIAL)	Date: February 12, 2007
SERVICES; and MULTI-FINANCIAL)	Time: 9:30 a.m.
SECURITIES CORP.,)	Courtroom: 11
)	
Respondents.)	
)	
)	

[The Honorable Martin J. Jenkins]

The Motion to Dismiss Plaintiff's First Amended Complaint, and in the alternative Motion to Confirm the Arbitration Award issued in NASD Arbitration Case No. 05-03037, and to Enter Judgment Thereon of Defendants Lawrence Ian Prozan, DBA Prozan Financial Services, and Multi-Financial Securities Corp. (collectively the "Prozan Defendants") filed in this matter in response to Plaintiff's First Amended Complaint came before the Hon. Martin J. Jenkins on February 12, 2007 at 9:30 a.m. in Courtroom 11 of the United States District Court, Northern District of California. Stanley Hilton appeared on behalf of Plaintiff Regina Jimenez,

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1 Ethan Dettmer appeared on behalf of Defendant FINRA (fka the NASD) and Elizabeth Lowery
2 appeared on behalf of the Prozan Defendants.

3 After considering all the parties' submissions on file herein, arguments of counsel and
4 good cause appearing therefore, the Court finds as follows:

5
6 ☐ Plaintiff's First Amended Complaint in Case No. 3:07-cv-03360-MJJ is dismissed
7 pursuant to FRCP 12(b) (1) without leave to amend;

8
9 ☐ Plaintiff's First Amended Complaint in Case No. 3:07-cv-03360-MJJ is dismissed
10 pursuant to FRCP 12(b) (6) without leave to amend;

11
12 ☐ Pursuant to 9 U.S.C. § 9, the arbitration Award issued in NASD Case No. 05-03037,
13 *Regina Jimenez v. Multi-Financial Securities Corp and Lawrence Prozan DBA*
14 *Prozan Financial Services and Multi-Fi Securities Corp.* is hereby confirmed in full
15 and judgment shall be entered thereon in the instant action;

16
17 ☐ Plaintiff Regina Jimenez is ordered to reimburse the Prozan Defendants for

18 ☐ All costs related to their efforts to respond to the First Amended
19 Complaint and confirmation of the Arbitration Award in NASD
20 Case No. 05-03037;

21 ☐ All reasonable attorneys' fees related to their efforts to respond to the
22 First Amended Complaint and to confirm the Arbitration Award in
23 NASD Case No. Case No. 05-03037.

24
25 IT IS SO ORDERED.

26
27 Dated: November 19, 2007

28

The Honorable Martin J. Jenkins
United States District Judge

PROOF OF SERVICE

I am employed in the County of Los Angeles. I declare that I am over the age of eighteen (18) and not a party to this action. My business address is 2615 Pacific Coast Highway, Suite 300, Hermosa Beach, California 90254.

On November 19, 2007, I served the following document described as: **[PROPOSED] ORDER ON PROZAN DEFENDANTS' MOTION TO DISMISS OR IN THE ALTERNATIVE TO CONFIRM ARBITRATION AWARD** on the interested parties in this action by placing the true copies thereof enclosed in sealed envelopes as follows:

COUNSEL FOR PLAINTIFFS

Stanley G. Hilton, Esq.
Law Offices of Stanley G. Hilton
580 California Street, Suite 500
San Francisco, CA 94104
Fax: (415) 439-4963

COUNSEL FOR DEFENDANTS NASD

Ethan D. Dettmer, Esq.
Gibson, Dunn & Crutcher LLP
One Montgomery Street
San Francisco, CA 94104
Fax: (415) 986-5309

- (X) **By U.S. Mail:** I deposited such envelopes in the mail at Hermosa Beach, California. The envelopes were mailed with postage thereon fully prepaid.
- () **By Personal Service:** I caused such envelope to be delivered by hand to the individuals at the addresses listed.
- () **By Overnight Courier:** I caused the above-referenced document(s) to be delivered to an overnight courier service (DHL), for delivery to the above addressee(s).
- (X) **By Facsimile Machine:** I caused the above-referenced document(s) to be transmitted to the above-named person at the following telephone number above.
- () **By Electronic E-mail:** I caused the above-referenced document(s) to be transmitted to the above-named person at the following addresses.
- (X) **(FEDERAL):** I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

EXECUTED on November 19, 2007 at Hermosa Beach, California.


Kathryn A. Wishard